



**MINT Workshop, Oct. 2017**  
**University of Alabama**

# Zooming in on Data Storage

*Roger Wood*  
*Western Digital Fellow*  
*(retired)*

**[ previously presented at the 25th  
ASME Annual Conference on Information  
Storage and Processing Systems (ISPS),  
June 20-21, 2016, Santa Clara Marriot,  
Santa Clara, California ]**

# The Superb Hard Disk Drive

- Where to begin? How to tell the story?
- by History?, by Technology? by Personalities?
- by Size or Scale?

# The Superb Hard Disk Drive

- Where to begin? How to tell the story?
- by History?, by Technology? by Personalities?
- by Size or Scale?: **Yes → ZOOM!** by factors of ten
- where to end: 1 Angstrom
- but where to start?
  - ***what is the largest distance over which humans have control and feedback?***

# Voyager I



Launched  
Sept. 5, 1977  
(41 years ago)



NASA deep space network  
(several 210 ft dishes)

*Information Storage and Processing System*

- **Tape: 1/2-inch x 100 ft**  
**8-tracks, serpentine**  
**Capacity: 67 MBytes**
- **Plated-wire memory**  
**32 kWords x 18 bits**

*Voyager I records 48 seconds of data on tape once a week. The data is played back to earth every six months.*

**Exited Heliosphere and entered interstellar space**  
**Aug 25, 2012**

← **38,000 mi/hr**  
**(16 km/s)**



12 ft dish

**$2.1 \times 10^{13}$  m = 20 hours (0.002 light years)**

← Path loss = 320 dB →

21 Watts  
8.4 GHz  
7.2 kbit/s

20 kW  
2.1 GHz  
16 bit/s

NASA

**Mission ends in ~2025 when power from plutonium-238 thermoelectric generator will not be enough to run any scientific instruments**

# Galileo

*Information Storage and Processing System*  
**Tape Recorder: 900 MB**

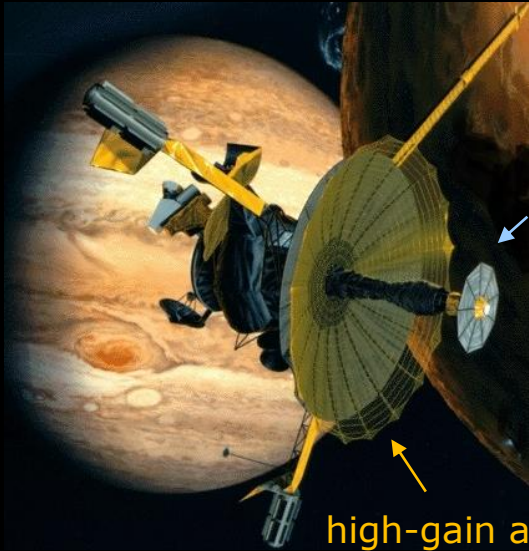
Fly-by data was stored on the tape-recorder and subsequently transmitted using the low-gain antenna at 10-40 bit/sec

**Galileo flyby of Io**  
(Jupiter's moon in foreground)



**Shuttle Atlantis STS-34**

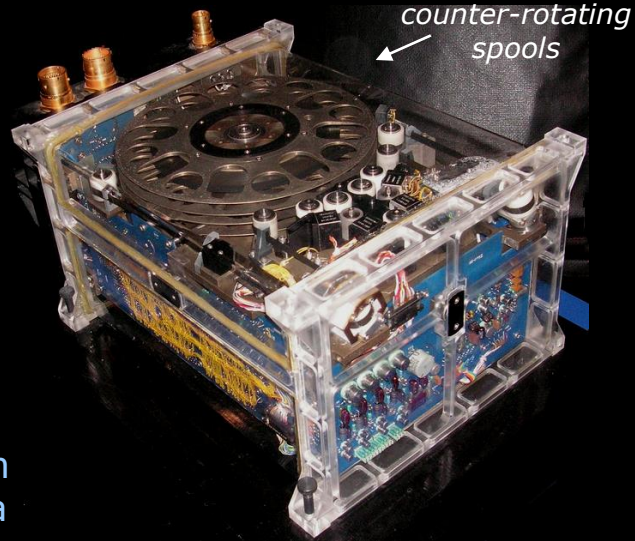
Launched Oct. 18, 1989 (29 years ago)



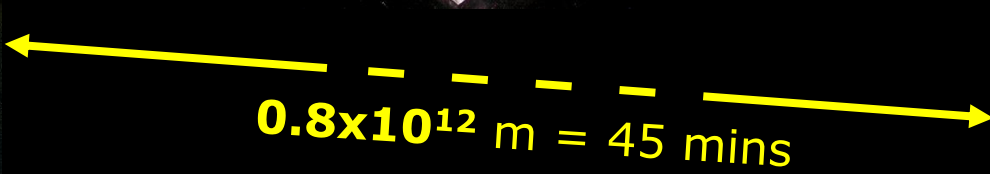
NASA/Germany

high-gain antenna (12 ft dish) failed to unfurl

low-gain antenna



counter-rotating spools



$0.8 \times 10^{12} \text{ m} = 45 \text{ mins}$

Tape recorder broke down twice: (diagnosed and fixed remotely)  
1. stiction on dummy head  
2. radiation-damaged LED (repaired with anneal cycles)

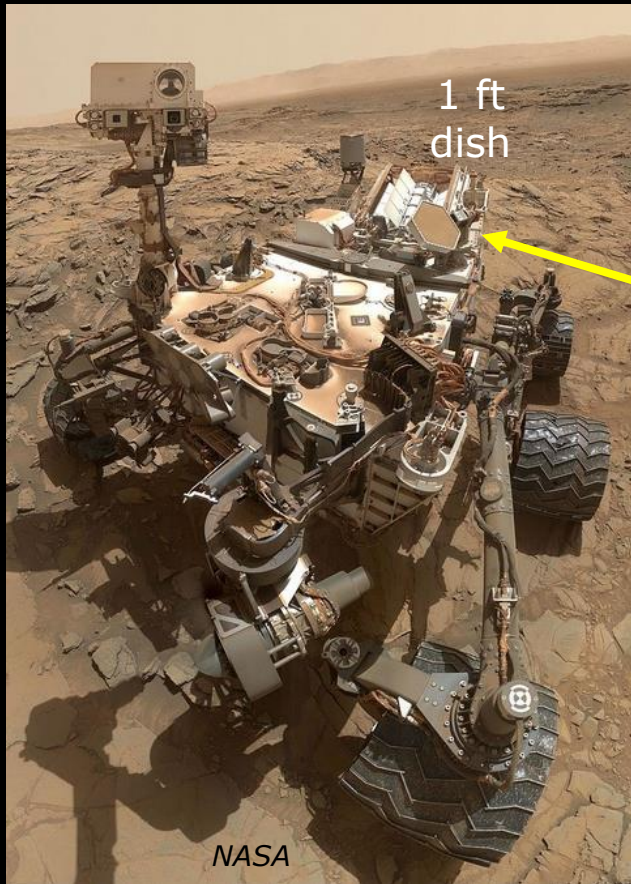


Sept. 21, 2003 final plunge into Jupiter atmosphere (electronics got fried)

# Curiosity

Traveled ~18 km exploring Mt. Sharp since landing in Gale crater (no Martians sighted yet)

## 'Selfie' of Curiosity Mars Rover



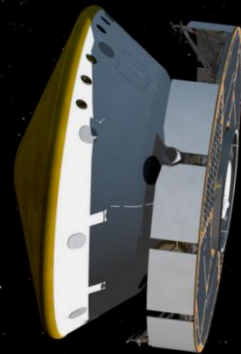
### Information Storage and Processing System

- **256 MBytes DRAM**
- **2 Gbytes Flash**  
(+ 16 GB on the cameras)

No HDD or Tape ☹️  
no magnetometer?

$2 \times 10^{11}$  m = 10 minutes

landing via heat-shield, parachute, & sky-crane



Spacecraft configuration during voyage



**Atlas V 541**

Launched Nov. 26, 2011 (7 years ago)



Landed on Mars Aug. 6, 2012

# Kepler

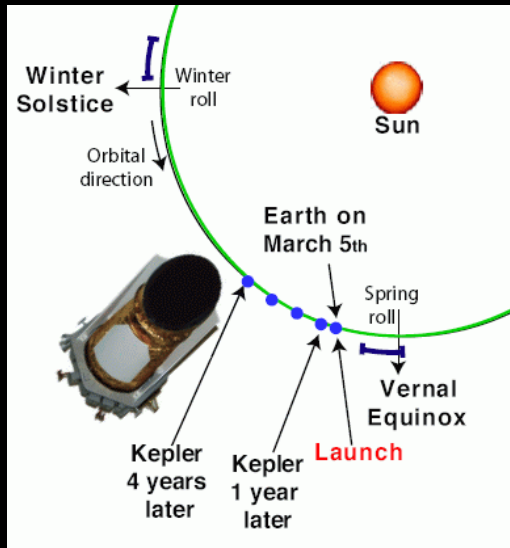
**Kepler space telescope has found 3,743 exoplanets as of Mar 8<sup>th</sup> 2018**  
*(no evidence of HDDs on other planets yet)*

*Information Storage and Processing System*  
**Solid-State: 16 Gbyte**  
 ~60 days of data

*No HDD or Tape ☹️*



**Delta II**



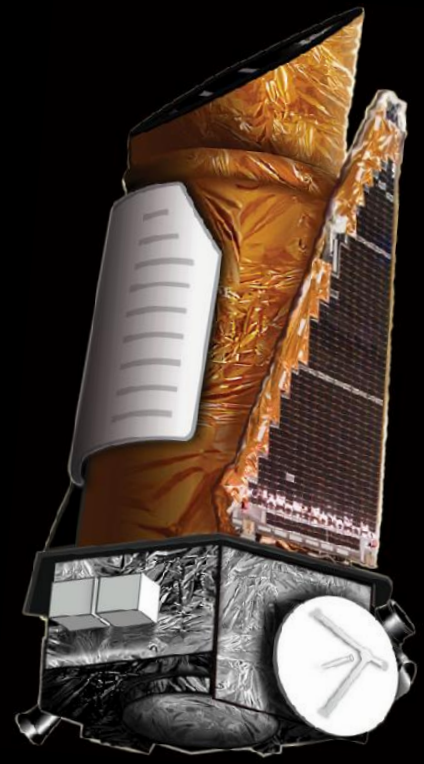
earth-trailing orbit

gradually drifting further behind

Launched 9 years ago



March 7, 2009



3 ft dish

NASA

**~10<sup>10</sup> m = 30 seconds**  
 (has since drifted to ~1 AU behind Earth)

# Apollo 11 Lunar Lander

**Neil Armstrong and Buzz Aldrin stepped onto the moon on July 21<sup>st</sup> 1969**  
(and don't forget Michael Collins)

*Information Storage and Processing System*  
**4 kBytes core memory**

*No HDD or Tape but ferrite cores are still magnetic recording ☺*

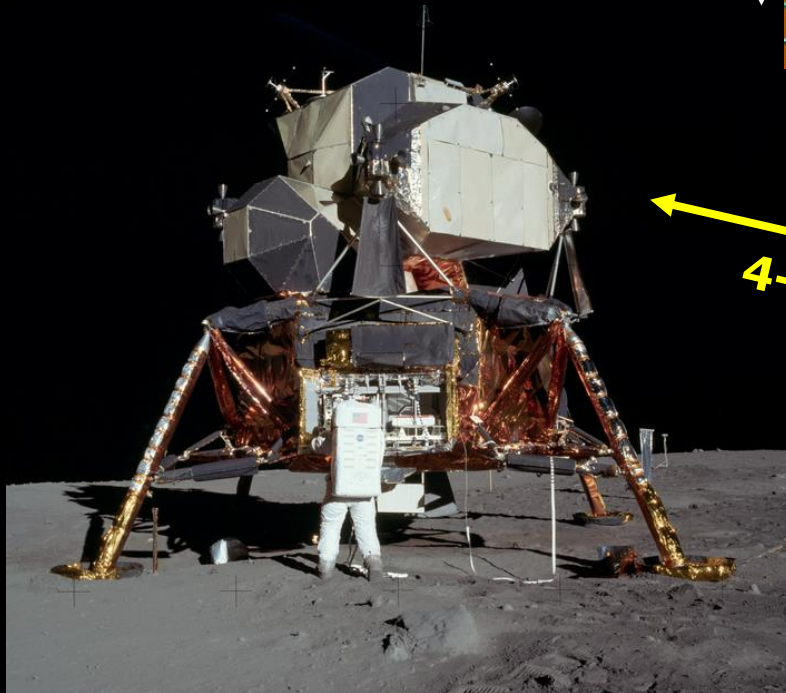


**Saturn V**

Launched  
July 16, 1969  
(49 years ago)



returned  
July 24, 1969,



**$0.38 \times 10^9$  m = 1.3 seconds**  
**4-day spaceflight each way**

*next humans on the Moon?*  
*China by 2025?*

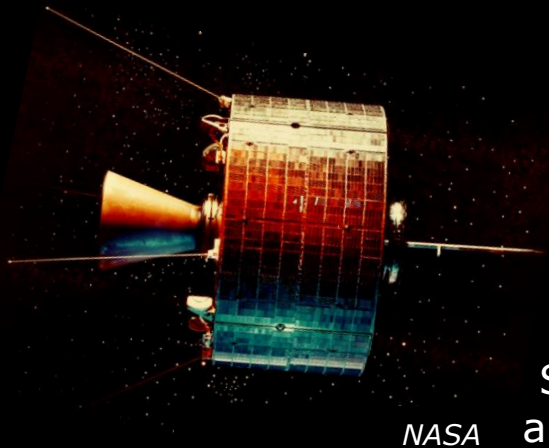


# Syncom 3

## First Geostationary Satellite

(orbits at the *equator* every 23.93 hours)

Telecast the 1964 Summer Olympics in Tokyo to the United States



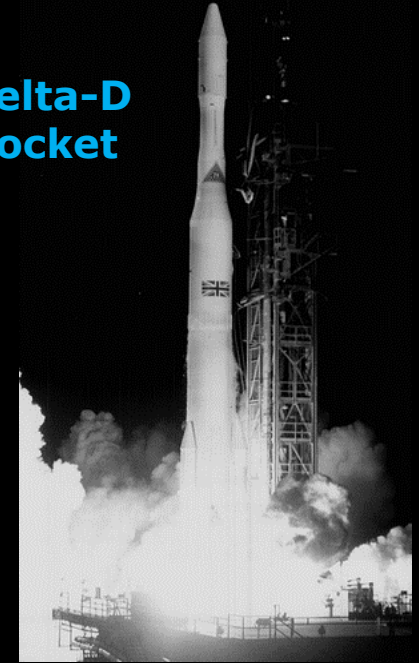
NASA

*Information Storage and Processing System*  
**Probably just a handful of bistables and latching relays?**

Geosynchronous orbit occurs at an altitude of 35,786 km (22,236 mi)

First popularized by Arthur C. Clarke in 1945 (*SciFi: "2001, A Space Odyssey"*)

**Delta-D rocket**



*(Delta-M Launch of British 'Skynet' satellite in 1969)*

**0.42x10<sup>8</sup> m = 0.14 seconds**



2 Watt TWT  
Slotted-dipole  
array: 6dB gain

Launched 54 years ago



Aug. 19, 1964

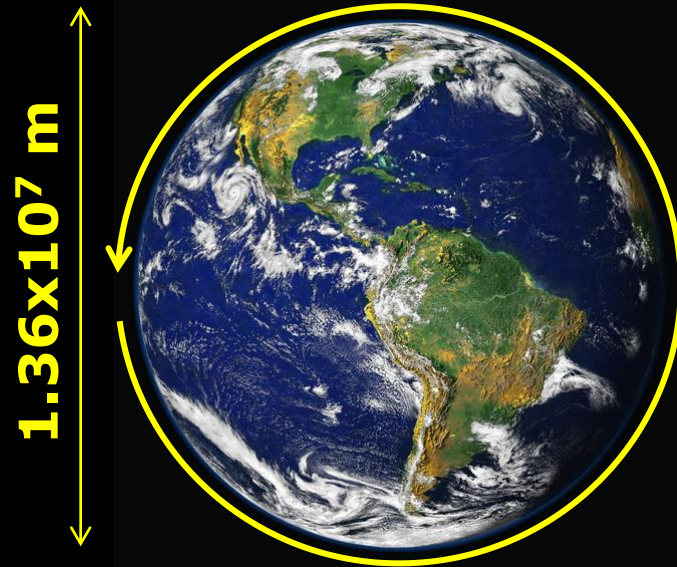
# MSTI-3

**Only HDDs ever launched on a spacecraft**  
(except on Intl. Space Station)

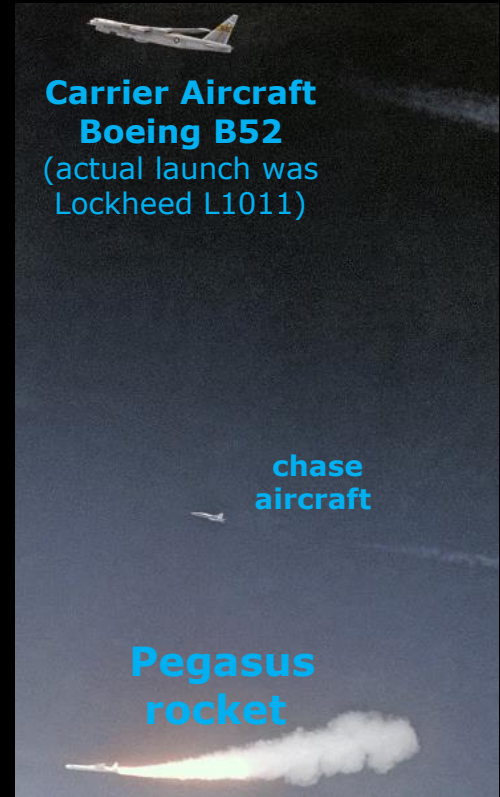
*Information Storage and Processing System*  
**2 x Conner 500 MB HDDs**  
(counter-rotating configuration)  
  
**Conner Peripherals CP-3540**  
**Half-High 3.5" Form-Factor**  
**4500 rpm, 6 disks, 2.5 MB/s**

MSTI = Miniature Sensor Technology Integration  
(for tracking thermal profile of ballistic missiles)

circular polar orbit 425 km altitude  
(Orbit diameter =  $12713 + 2 * 425$  km  
=  $1.36 \times 10^7$  m)



US Air-Force



**Carrier Aircraft Boeing B52**  
(actual launch was Lockheed L1011)

chase aircraft

**Pegasus rocket**

Launched from Vandenberg AFB  
20 years ago  
May 16, 1996  
till Dec 1<sup>st</sup> 1998

# Hubble

**Feb 1997  
tape-recorder  
replaced with  
solid-state**

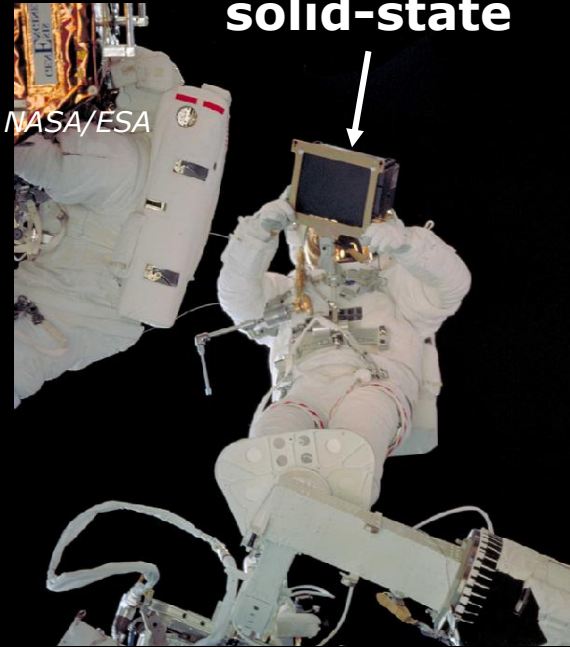
*Information Storage and Processing System*  
**was 3 Tape-recorders  
each 1.2 GB capacity**

**Replaced with  
3 x 12 GB solid-state  
radiation-hardened  
memories during 2 of  
the 5 service missions**



**launch from  
Shuttle cargo bay**

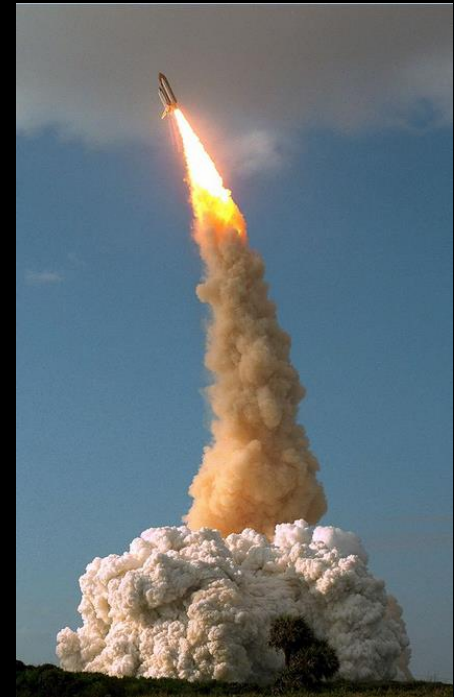
**Space-Shuttle Discovery  
Mission STS-31**



**Hubble orbits at  
altitude of 560 km  
=  $0.56 \times 10^6$  m**

Infamous for  
its fuzzy vision  
(1<sup>st</sup> service  
mission fixed it  
in Dec 1993)

Launched on  
space-shuttle  
26 years ago  
Apr. 24, 1990  
(originally planned  
for 1983)



**"Pillars of  
Creation"**

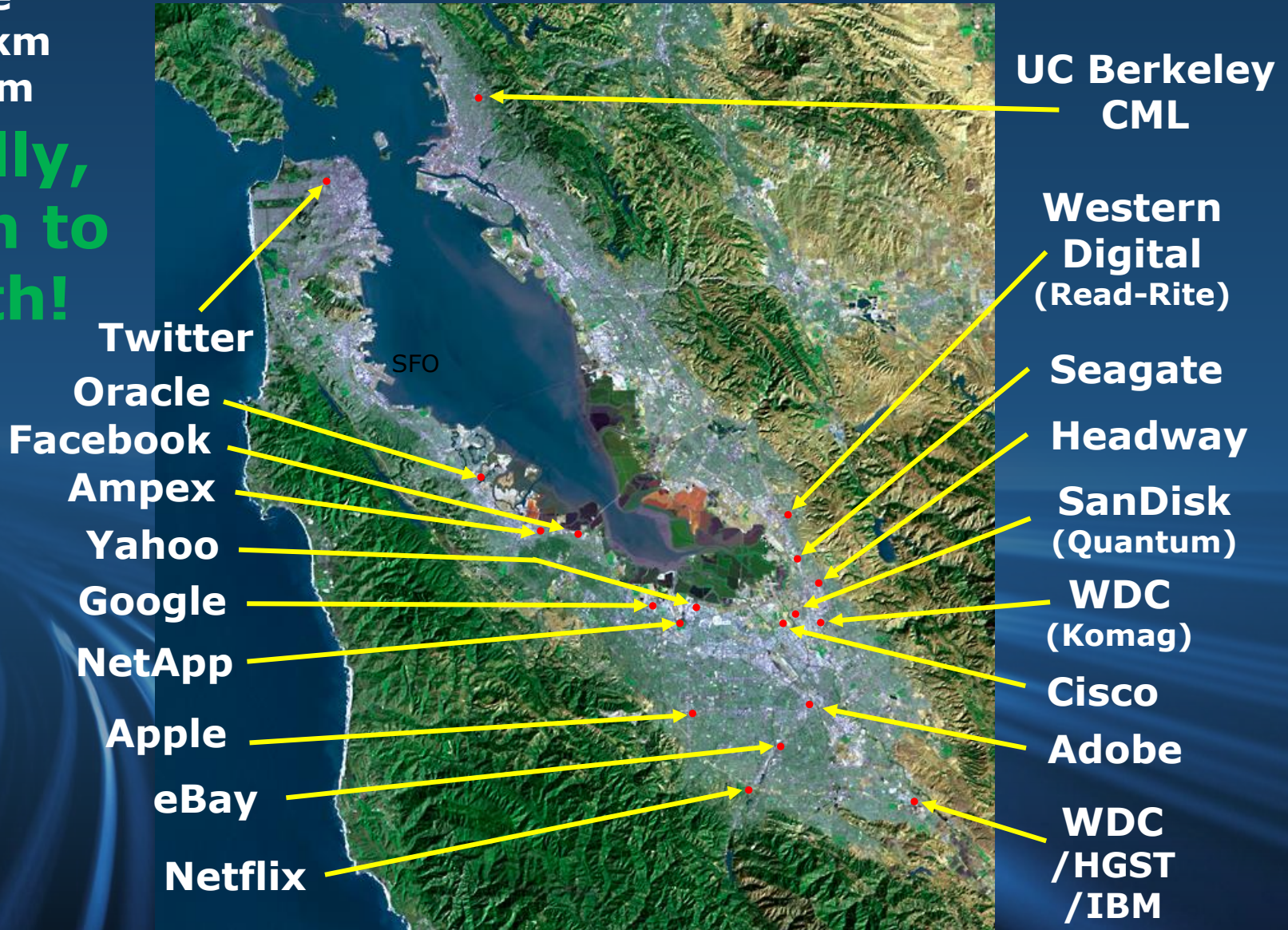
**Eagle Nebula**



# San Francisco Bay (Storage Industry)

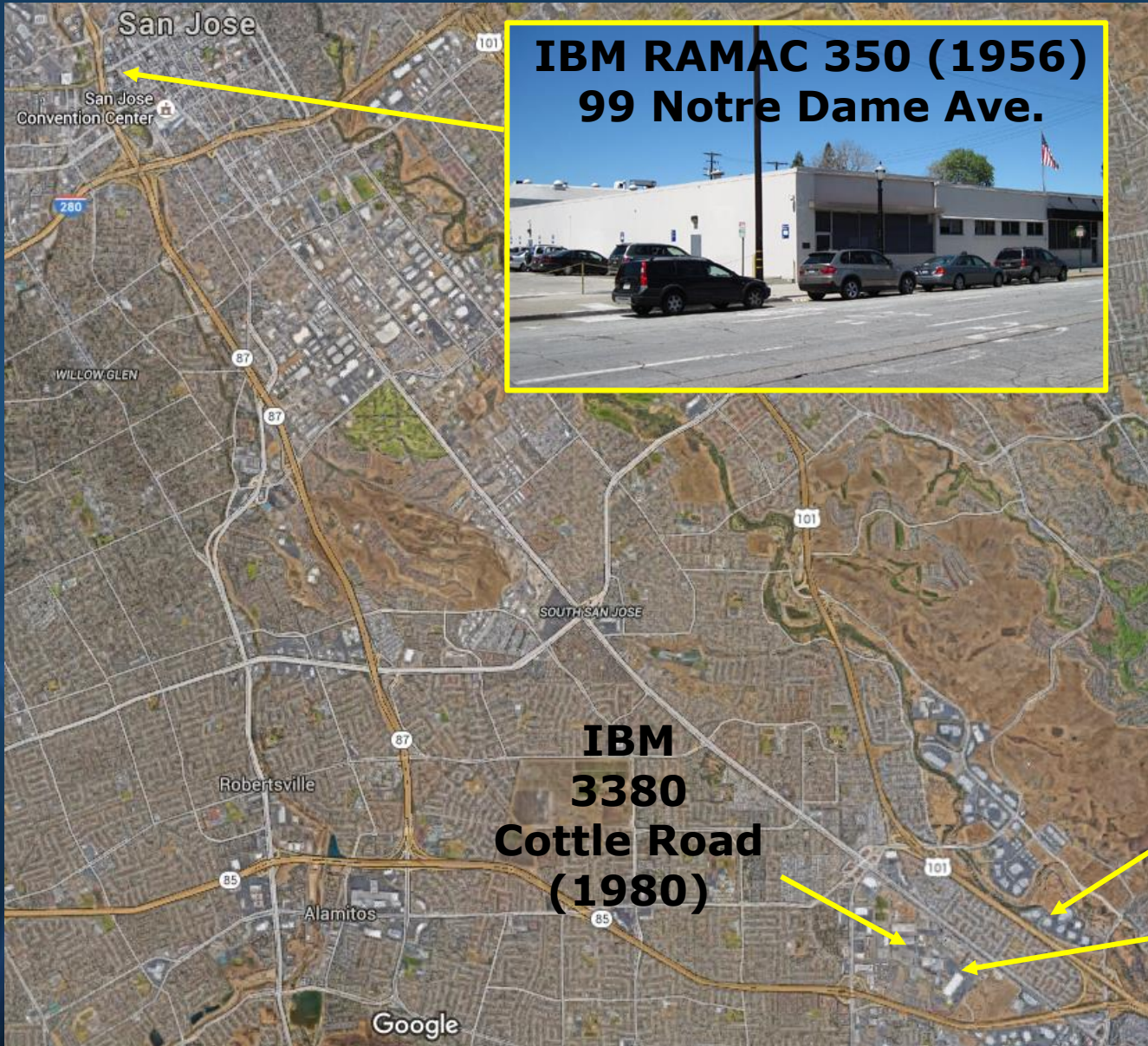
Scale  
~100 km  
~10<sup>5</sup> m

Finally,  
Down to  
Earth!



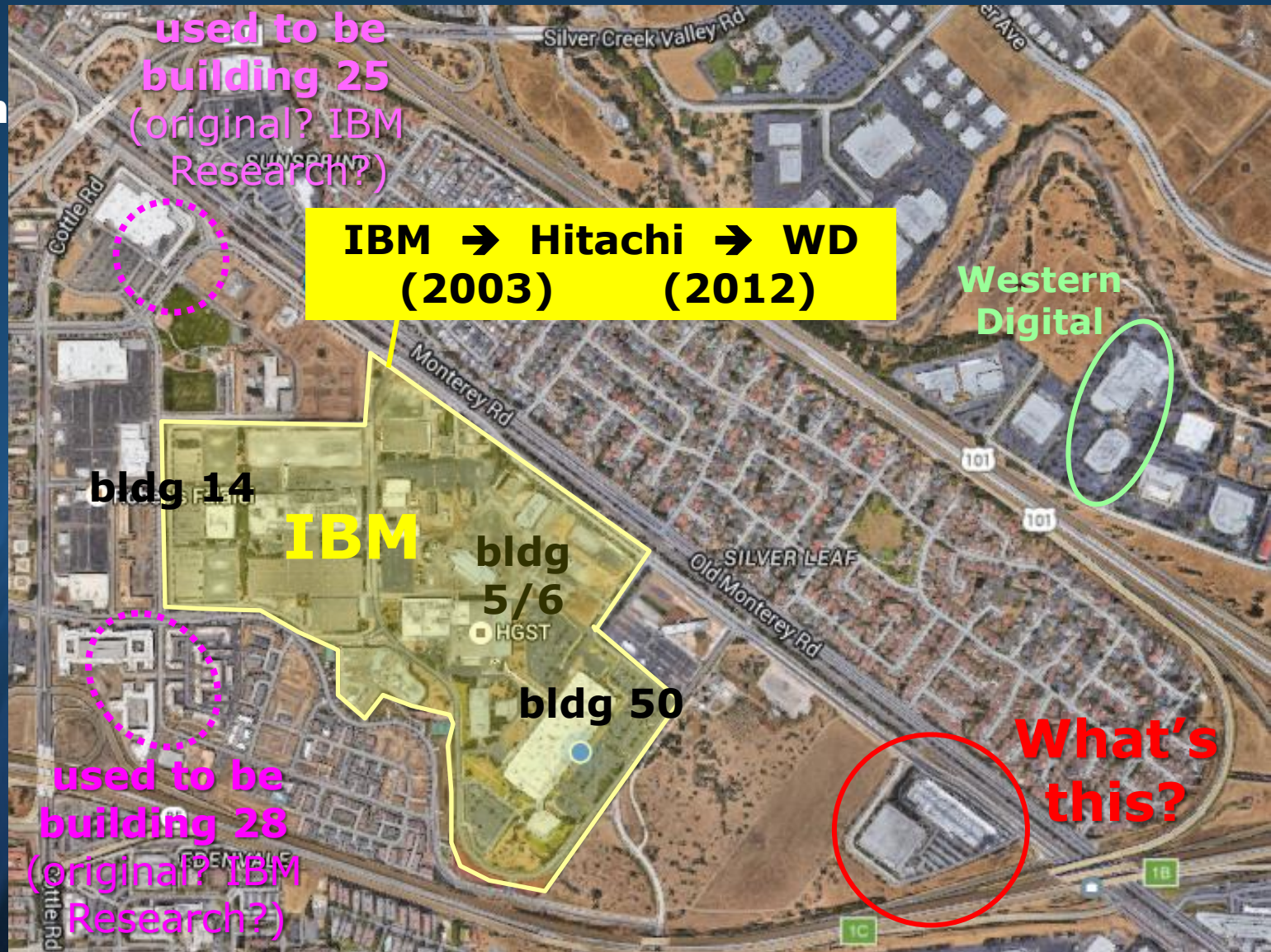
# San Jose (HDD History)

Scale  
~10 km  
~10<sup>4</sup> m



# Nostalgia: old IBM site

Scale  
~1 km  
~10<sup>3</sup> m



# Data Center 9/11 Great Oaks Parkway

Scale ~100 m  
~10<sup>2</sup> m  
(soccer pitch)

## Equinix SV1 facility

- 83,000 ft<sup>2</sup>  
air-conditioned
- 10 MW back-up  
power
- Uptime  
99.9999%
- Seismic  
Category IV  
(hospitals/fire/  
police, etc)



# Google Container Storage

**Scale ~10 m**  
(shipping container)

**Modular storage based on standard shipping container**  
(40 x 9.5 x 8 feet)



<https://www.youtube.com/watch?v=zRwPSFpLX8I>

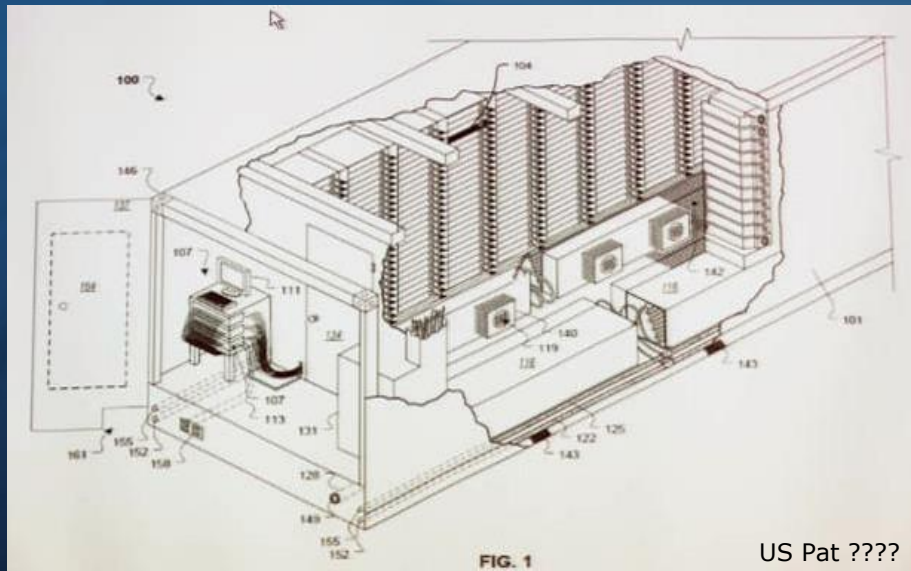


FIG. 1

US Pat ????

**“Standard 1AAA shipping containers packed with 1,160 servers each, with many containers in each data center.”**

**cnet.com 2009**



# Big HDDs and Racks

**IBM RAMAC 350 → IBM 3380 → WD SA7000**

Scale ~1 meter  
*(person-sized)*

**IBM RAMAC**  
**1956, < 5 MB**  
50 disks, 2 heads

24 years  
500 x

**IBM 3380**  
**1980, 2.5 GB**

35 years  
2 million x

**"Active Archive"**  
**2015, 4.7 PB**



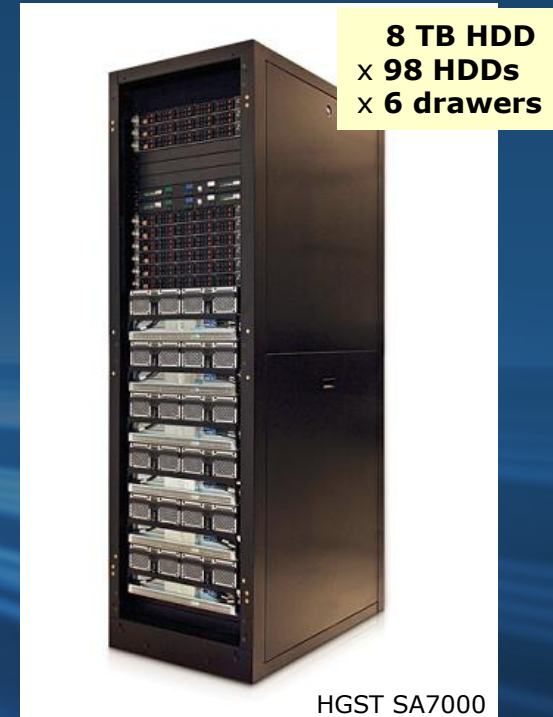
Al Hoagland, Computer History Museum, Mountain View

**1960 Olympic Winter Games**  
**Squaw Valley (USA),**



Finnish Data Processing Museum, Jyväskylä, Finland

2 actuators/spindle  
2 spindles/box



**8 TB HDD**  
**x 98 HDDs**  
**x 6 drawers**

HGST SA7000

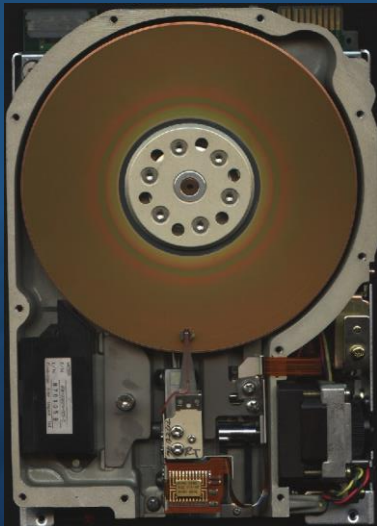
<http://www.hgst.com/>

98 actuators  
98 spindles

# 'Small' HDDs

Scale ~10 cm  
 $10^{-1}$  m  
*(hand-sized)*

**1<sup>st</sup> 5¼" HDD**  
**Seagate ST506**  
**1980, 5 MB**



stepping motor  
+ linear-actuator  
170 ms ave. access

**1<sup>st</sup> 3.5" HDD**  
**Rodime R0352**  
**1983, 12.75 MB**



96 mm disk  
(interesting history)  
Rodime → Littlewoods!

33 years  
1 million x

## 'Modern' 3.5" HDD:

**UltraStar HE10**  
**2016, 10 TB**  
**7200 rpm, 1" high**  
**7 thin-film PMR disks**  
**14 spin-valve TMR heads**  
**Helium sealed**  
**Rotary Actuator**  
**+ Micro-actuator**  
**Fly-height actuator**



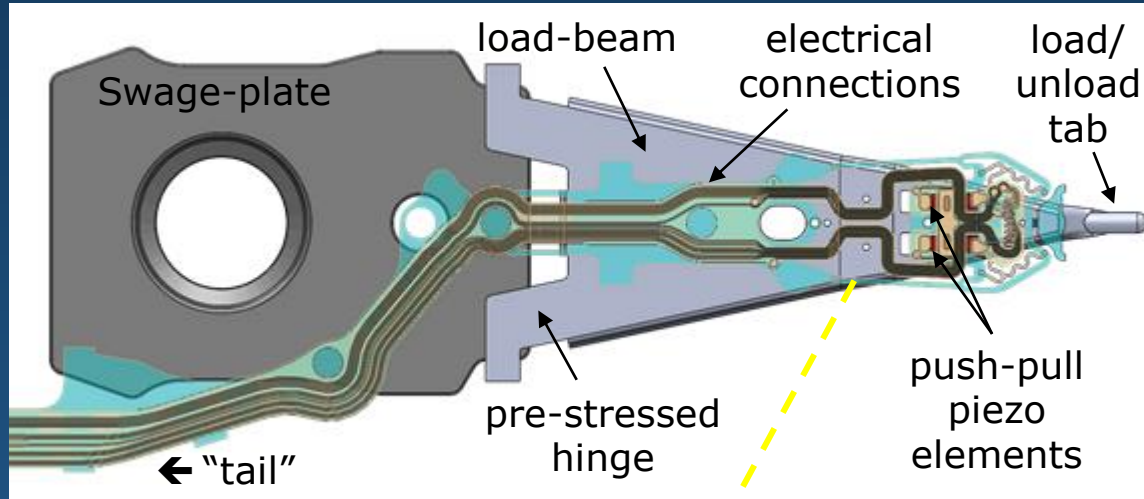
95 mm disk  
rotary actuator  
12 ms ave. access

HGST HE10

# Suspension and Microactuator (HGA, Head/Gimbal Assembly)

**Scale**  
~ 1 cm  
(finger-nail)

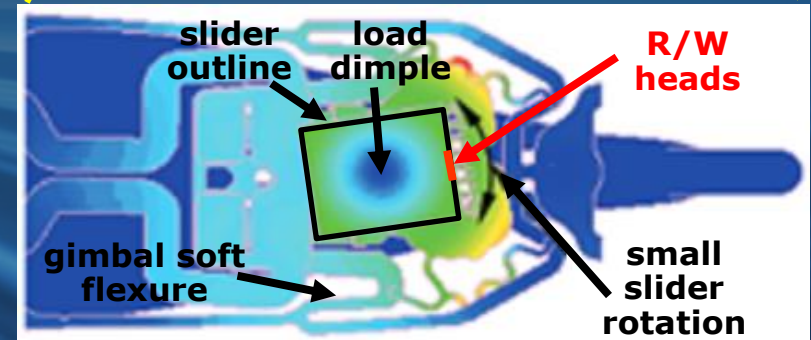
Suspension carries control signals and ~3 Gb/s data over lines with carefully controlled impedance



Suspension loads the slider against disk and includes gimbal to allow slider pitch & roll



Heads shown parked on loading ramp



HGST Microactuator, White Paper, Oct 2015

**tiny stroke but very high bandwidth  
(Main Resonance ~40 kHz)**

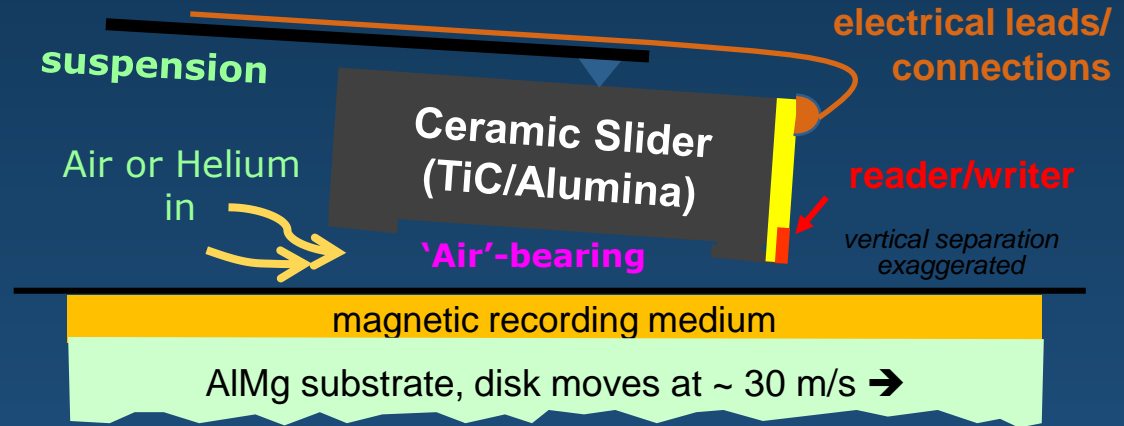
# Slider

Scale ~ 1 mm  
 ~10<sup>-3</sup> m  
 (coarse sand)



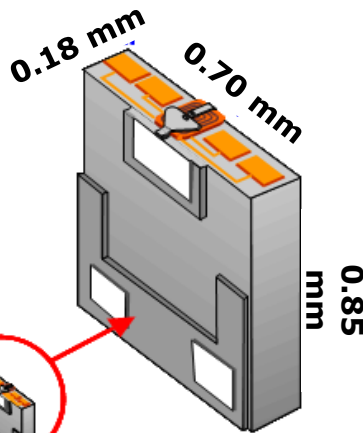
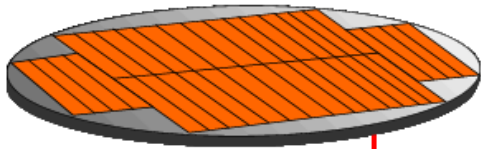
**micro-femto-slider dimensions**

**0.85 x 0.7 x 0.18 mm**  
 (Smaller than ball in ball-point pen)



~120,000 sliders/wafer on an 8" wafer

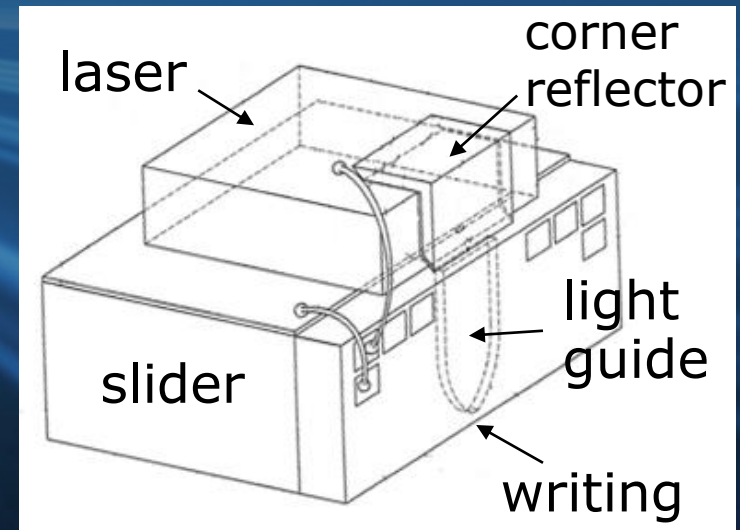
Lithography and processing occurs on large ceramic wafer



Rows are sliced from wafer and one surface is lapped (accurate to a few nm) and then etched to create air-bearing patterned surface

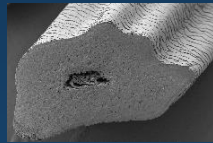
## HAMR

heat-assisted magnetic recording  
**laser mounted on slider**



# Air-bearing, Head Connections

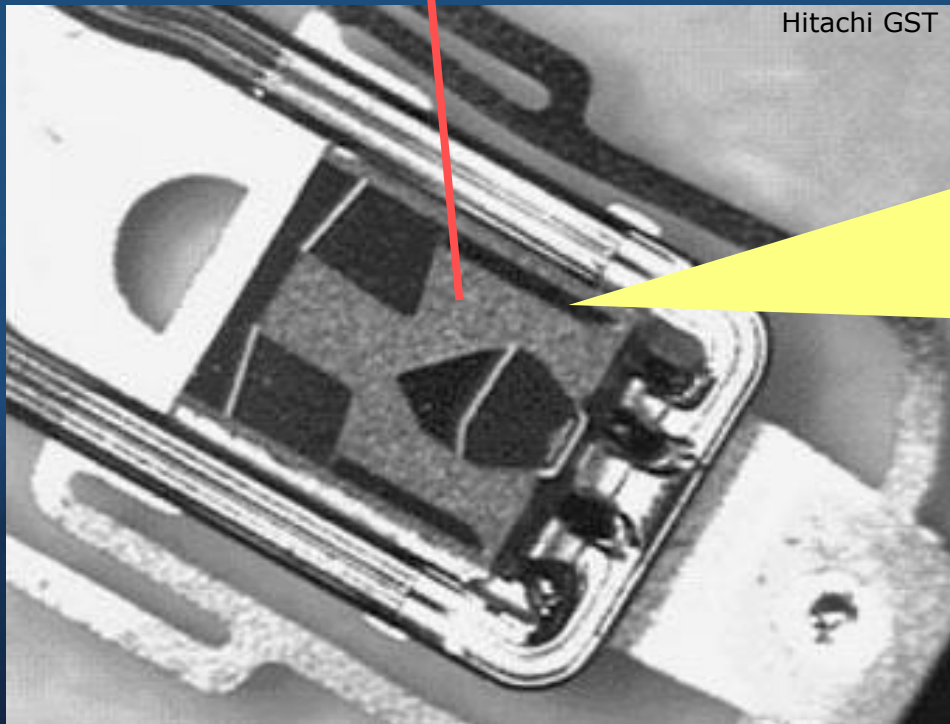
Scale  $\sim 100 \mu\text{m}$   
 $\sim 10^{-4} \text{ m}$



human hair



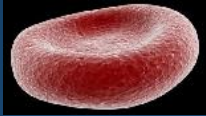
**Back of Slider (Wafer Surface)**  
**Bonding pads and copper and NiFe leads are all on a sub  $100 \mu\text{m}$  scale and occupy almost all the area on the back of the slider**



**'Air'-bearing**  
 Designed to fly reliably with tiny, controlled separation but highly compliant with disk surface. Design must operate over full actuator stroke (skew/ velocity), and tolerate a wide range of ambient pressure, temperature, and humidity and possibly different atmospheres (Air/He) and rpm (during servo-write)

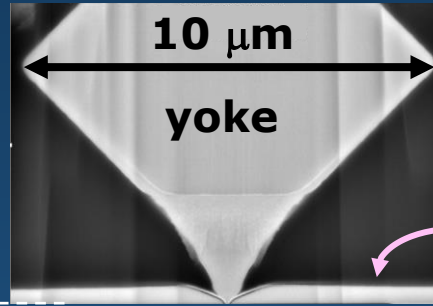
# Head Magnetic Structures

Scale ~ 10  $\mu\text{m}$   
~10<sup>-5</sup> m



(red blood cell  
~8  $\mu\text{m}$ )

**write-head  
magnetic  
yoke**

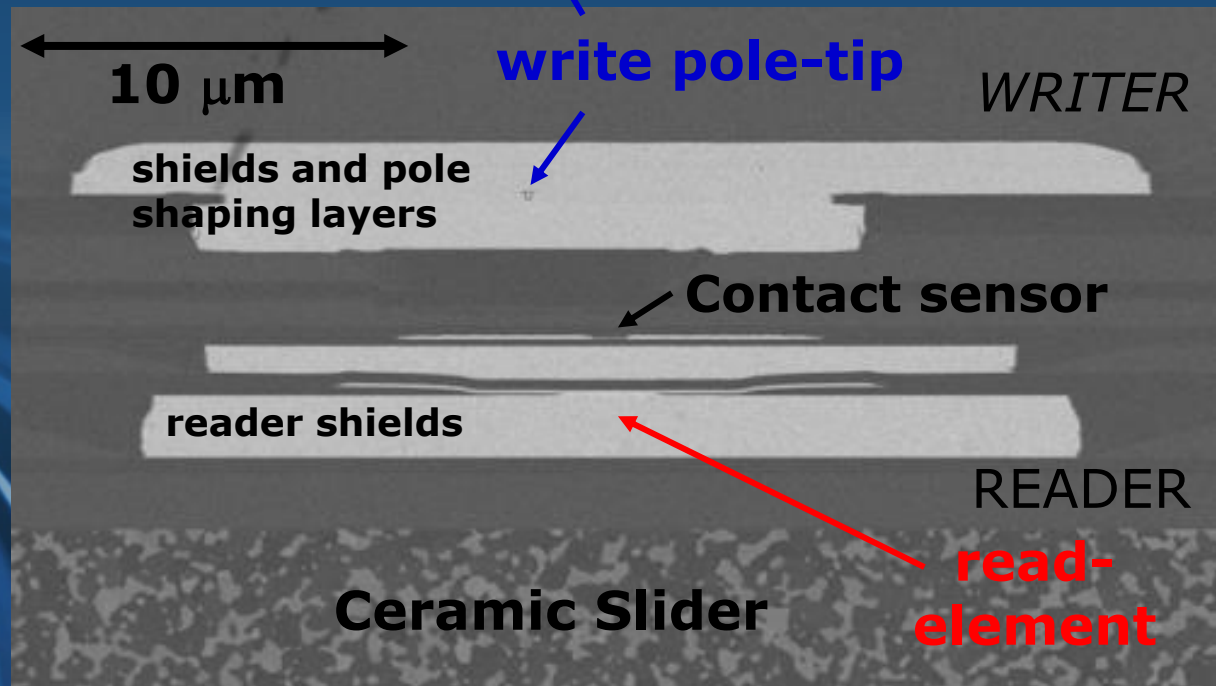


*perspective  
from  
back of slider*

air-bearing surface

**side-shield**

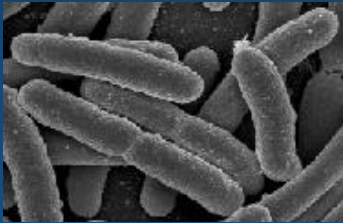
*perspective  
from  
air-bearing*



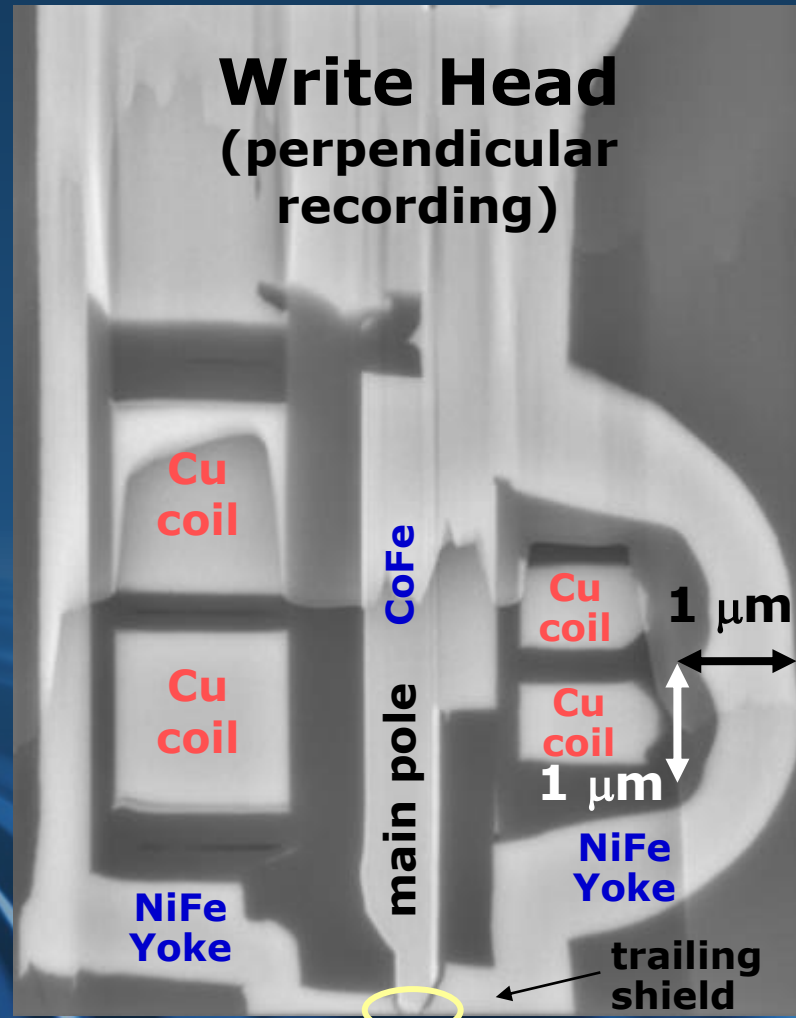
# Write Head

Scale  $\sim 1 \mu\text{m}$   
 $\sim 10^{-6} \text{ m}$

(bacteria-size)



Much of the body (magnetic yoke) of the write head is fabricated with optical lithography at the  $1 \mu\text{m}$  scale and with plated films in the  $1 \mu\text{m}$  thickness range



Small yoke and 2-turn plated coil minimizes inductance and allows high data-rates  $>3 \text{ Gb/s}$

Write head uses high-permeability structures tapered in 3D to focus flux from the  $1\text{-}10 \mu\text{m}$  scale down to the  $10\text{-}100 \text{ nm}$  scale of the pole-tip

**This is where 'rubber meets the road'**

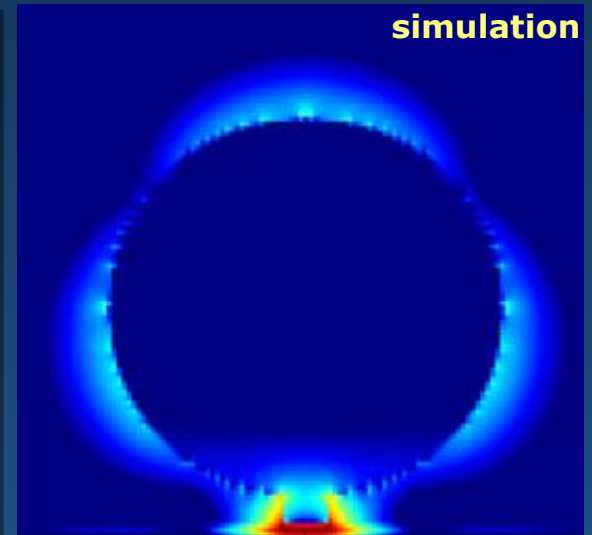
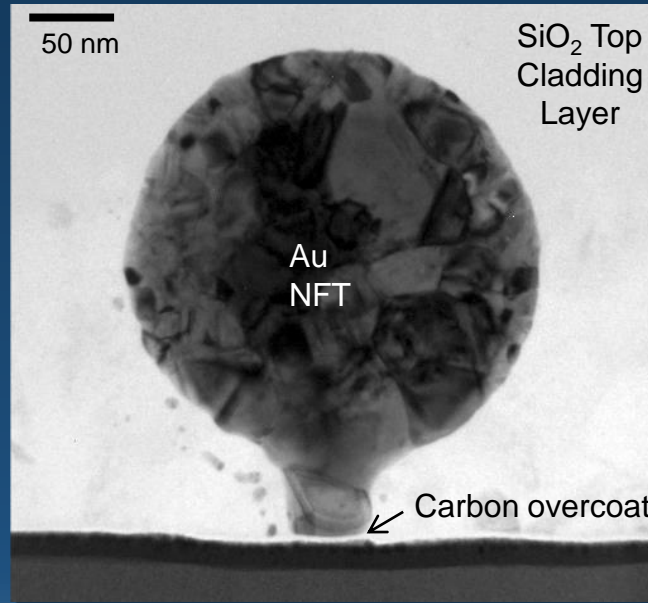
# NFT and STO (new technologies)

Scale ~ 100 nm  
~10<sup>-7</sup> m  
(virus-size)



## HAMR Near-Field Transducer

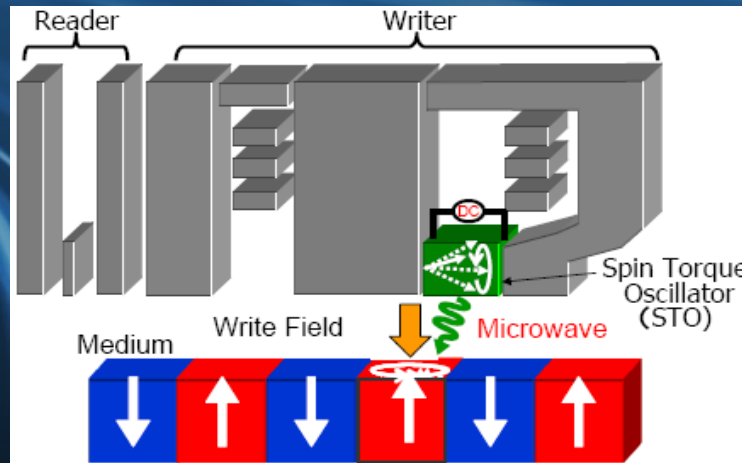
(plasmon resonance at optical frequencies provides localized heating of medium to assist writing)



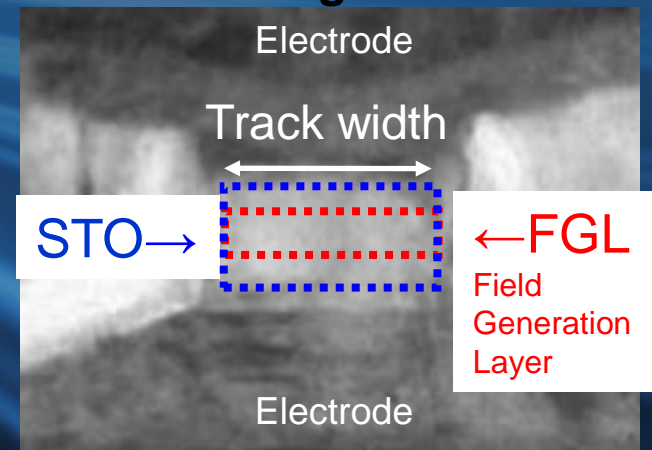
Challener et al, Nature Photonics 3, pp. 220 - 224 (2009)

## MAMR Spin-Torque Oscillator

(ferromagnetic resonance at microwave frequencies provides localized excitation to assist writing)



## TEM image of STO



Matsubara et al, MMM 2010

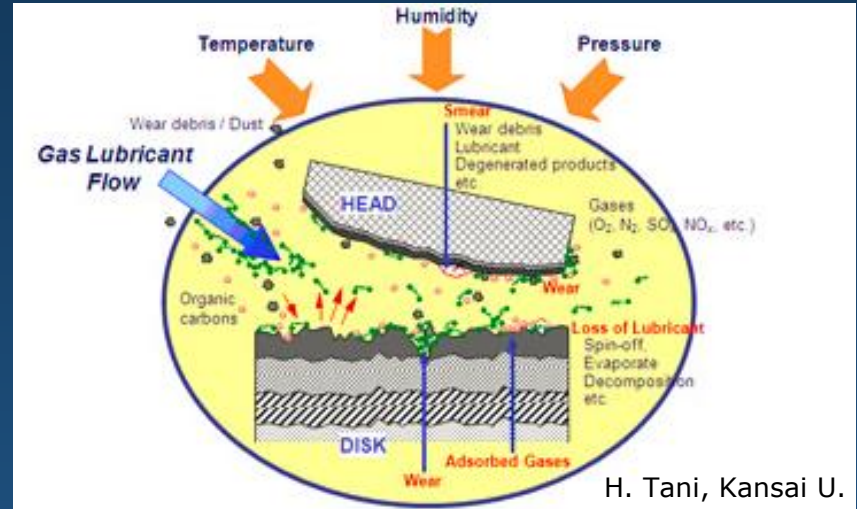


# Thermal Flying Control (TFC)

Scale ~ 10 nm  
 $10^{-8}$  m  
 (prion-size)

many factors affect fly-height →

'touchdown' or head-disk contact disturbs all 3 dimensions and can be sensed from servo-position amplitude, and timing (and/or from an explicit contact sensor)



[http://www2.ipcku.kansai-u.ac.jp/~hrstani/intro\\_e.htm](http://www2.ipcku.kansai-u.ac.jp/~hrstani/intro_e.htm)



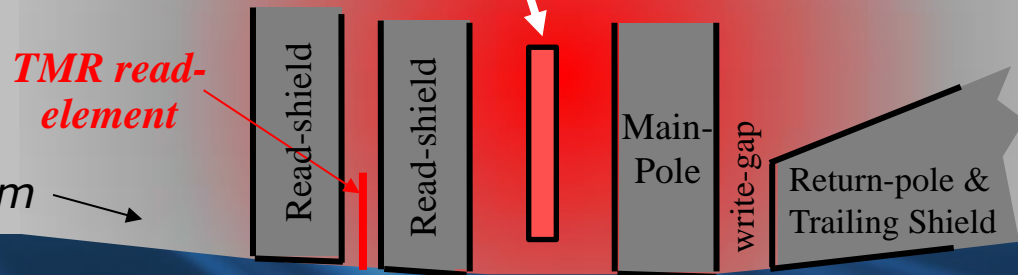
resistive heater controls clearance to Anstrom accuracy via thermal expansion

'mothership' clearance ~ 10 nm

disk velocity ~34 m/s →  
 80 mi/hr

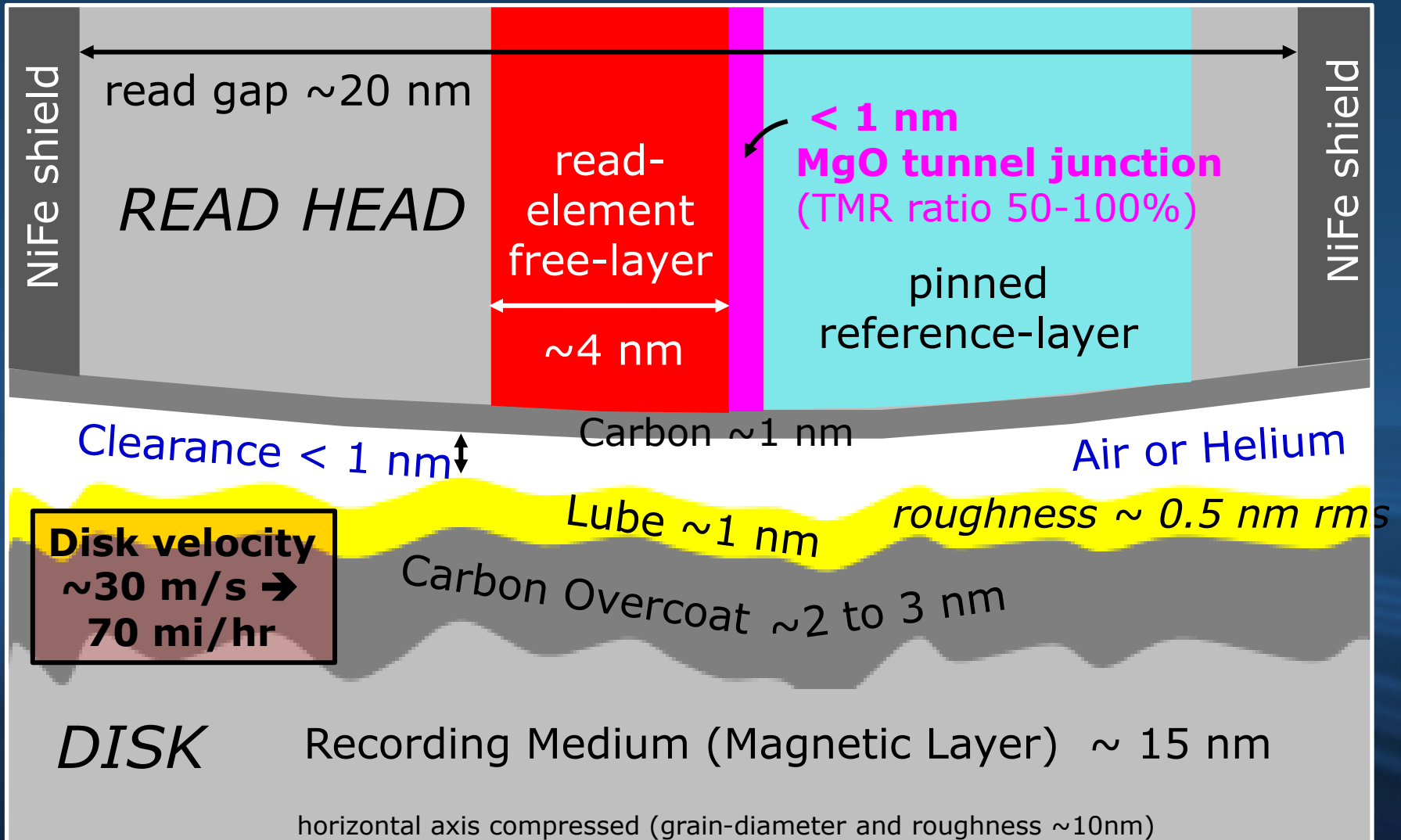
**Disk**

target clearance for reader and writer ~ 1 nm



# Head-Disk Interface and Tunnel-Junction Read Sensor

Scale ~ 1 nm



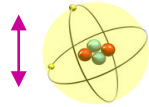
**Disk velocity**  
~30 m/s →  
70 mi/hr

# Atomic Level

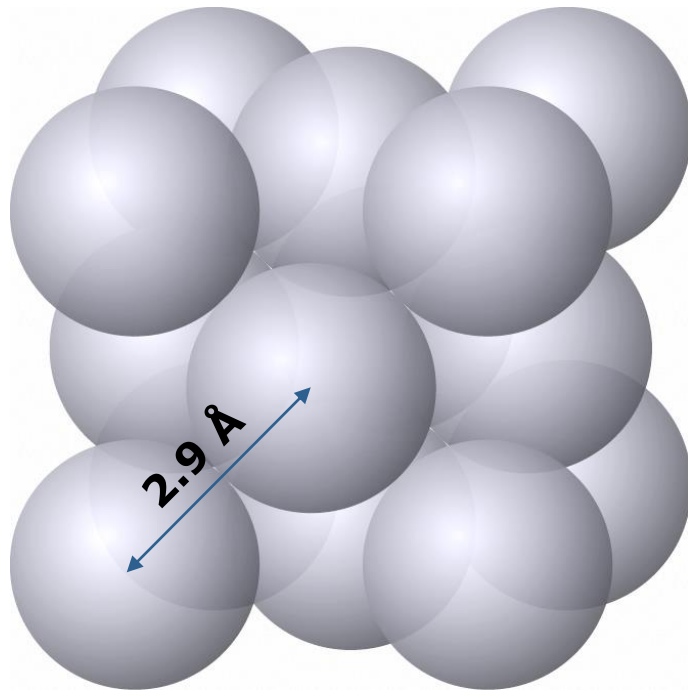
Scale  $\sim 1 \text{ \AA}$

## Helium Atom

$0.6 \text{ \AA}$

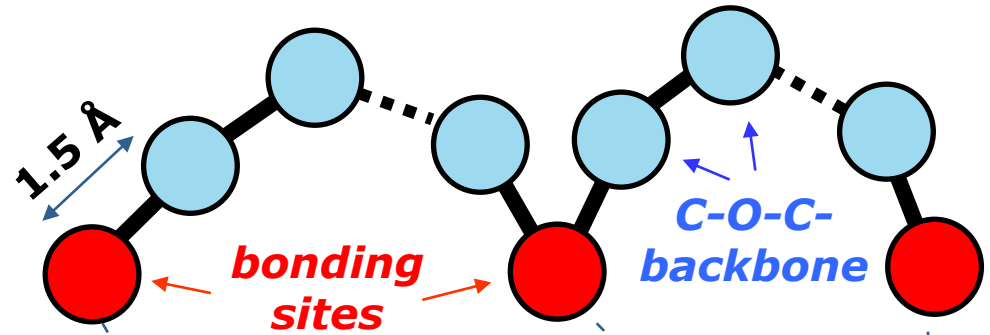


## Aluminum Casting



face-centered cubic

## ZTMD Lube (perfluoropolyethyl ether)

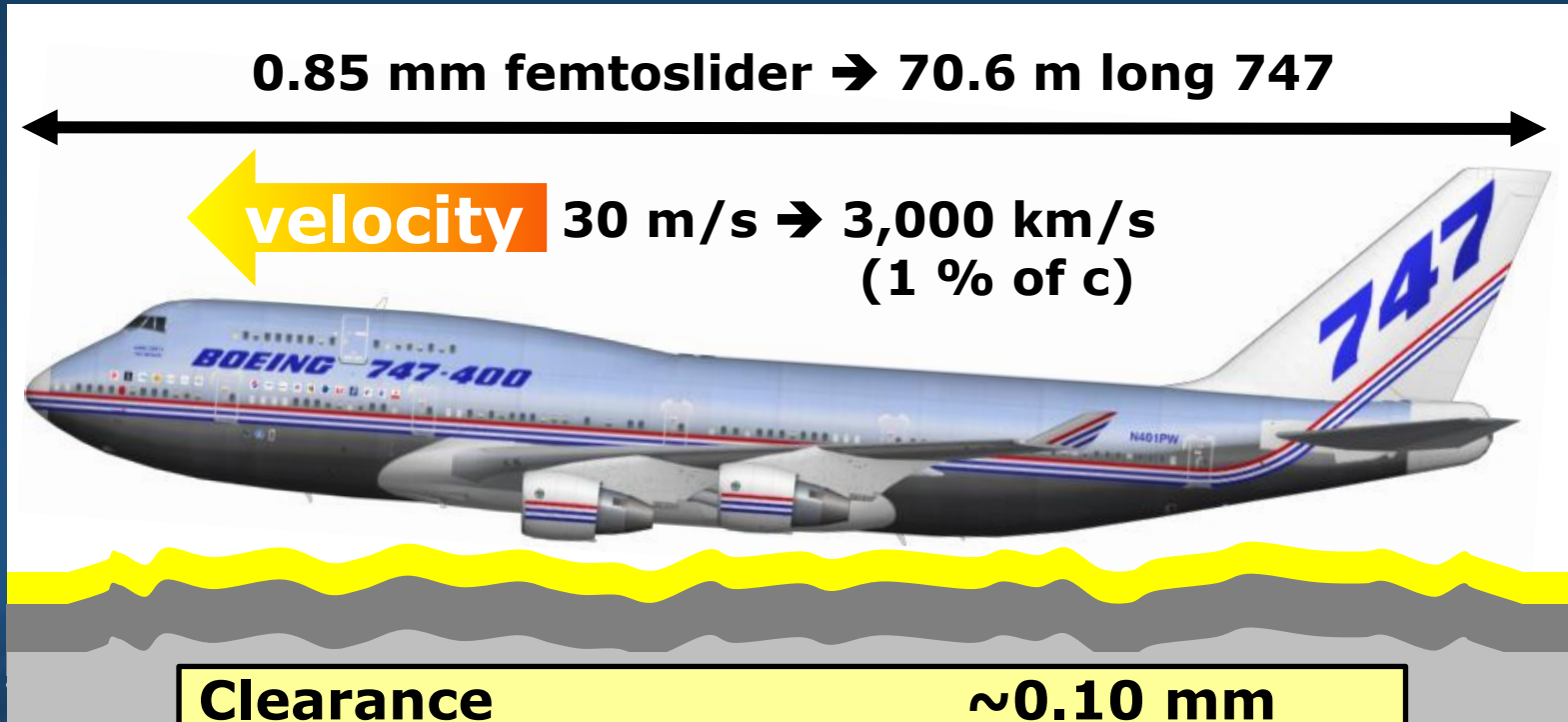


Diamond-like  
Carbon  
Overcoat

Tetrahedral  
structure

# Scaled to a Boeing 747

**0.85 mm femtoslider scaled to length of a Boeing 747**



<b>Clearance</b>	<b>~0.10 mm</b>
<b>Disk roughness (rms)</b>	<b>~0.05 mm</b>
<b>Disk lubricant</b>	<b>~0.10 mm</b>
<b>Disk Carbon overcoat</b>	<b>~0.20 mm</b>
<b>Media recording layer</b>	<b>~1.50 mm</b>
<b>Disk thickness</b>	<b>~100 m</b>
<b>Disk diameter</b>	<b>~10 km</b>

# Magnetic Recording and HDD

**Western Digital**

## Voyager I

Information Storage and Processing System

- Tape: 1/2-inch x 100 ft
- 8-tracks, serpentine
- Capacity: 67 MBytes
- Playback at 7.2 kb/s by Lockheed, Plainfield, NJ

Voyager I records 48 seconds of data on tape once a week. The data is played back to earth every six months.

entered Interstellar space Aug 25, 2012

← 38,000 mi/hr (16 km/s)

12 ft dish

21 Watts  
8.4 GHz  
7.2 kbit/s

→ 400 kW  
2.1 GHz  
40 bit/s

Path loss = 320 dB

2x10<sup>13</sup> m = 18.5 hours (0.002 light years)

Launched Sept. 5, 1977 (39 years ago)

NASA deep space network (several 210 ft dishes)

Mission ends in ~2025 when power from plutonium-238 thermoelectric generator will be insufficient for any scientific instruments

© 2010 Western Digital Corporation ISPS 2016, June 20-21<sup>st</sup>, Santa Clara, California page E-13 m

10<sup>+13</sup> m



10<sup>-10</sup> m

**Western Digital**

## Atomic Level

Scale ~1 Å

Helium Atom 0.5 Å

Aluminum Coating

face-centered cubic

ZTMD Lubc (perfluoropolyethyl ether)

1.5 Å

bonding sites

C-C-C backbone

1.5 Å

Diamond-like Carbon Overcoat

Tetrahedral structure

© 2016 Western Digital Corporation ISPS 2016, June 20-21<sup>st</sup>, Santa Clara, California page E-10 m

**1898**

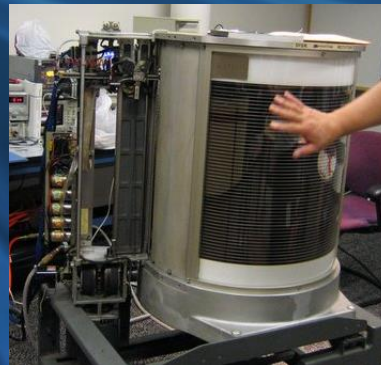
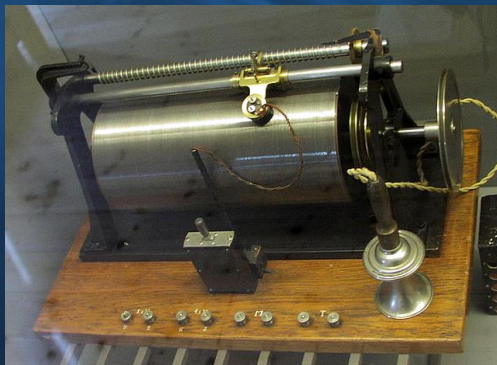
(Wire recorder, V. Poulson)

**1956**

(5 MB, Ramac, IBM)

**2016**

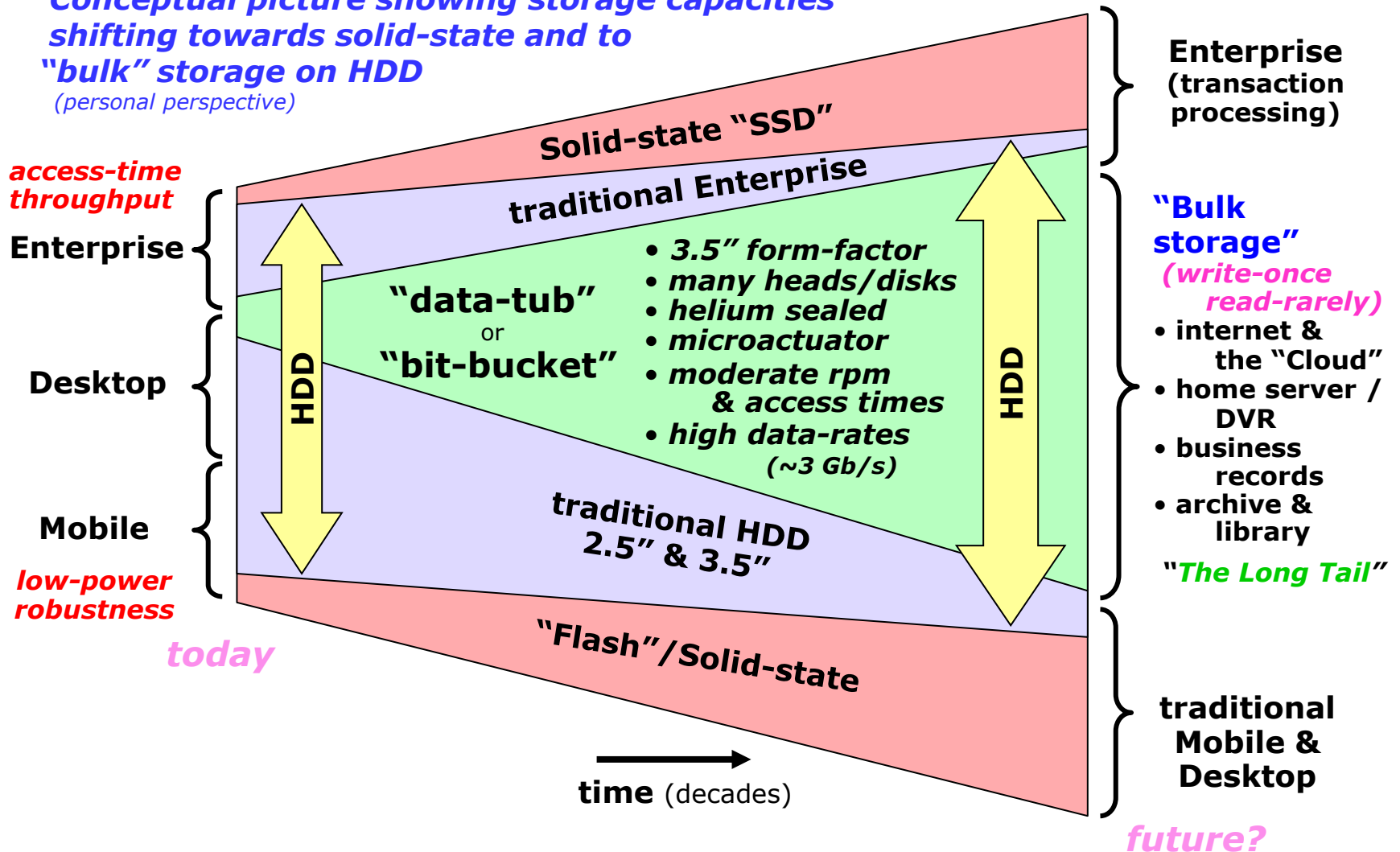
(10TB, HGST/WDC)



HGST HE10

# The Future: Market Evolution

*Conceptual picture showing storage capacities shifting towards solid-state and to "bulk" storage on HDD*  
*(personal perspective)*

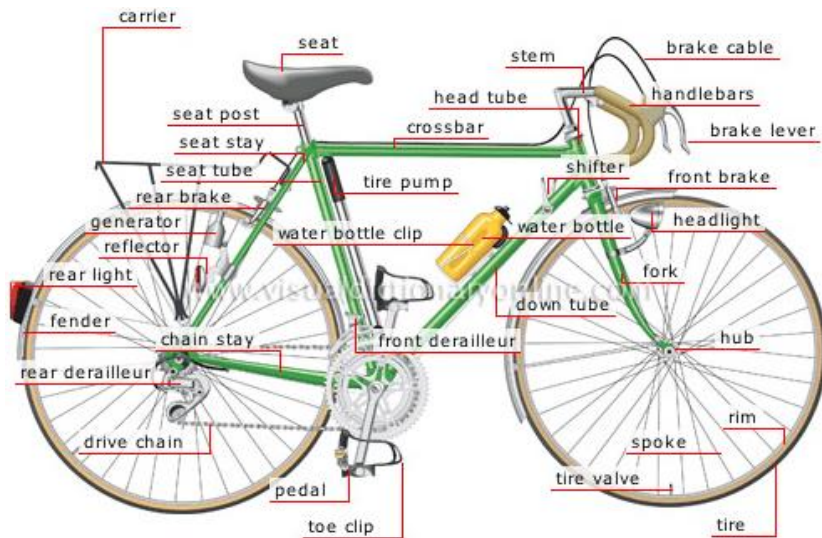


R. Wood, J. MMM 321 (2009) pp. 555-561

# Perfect Inventions

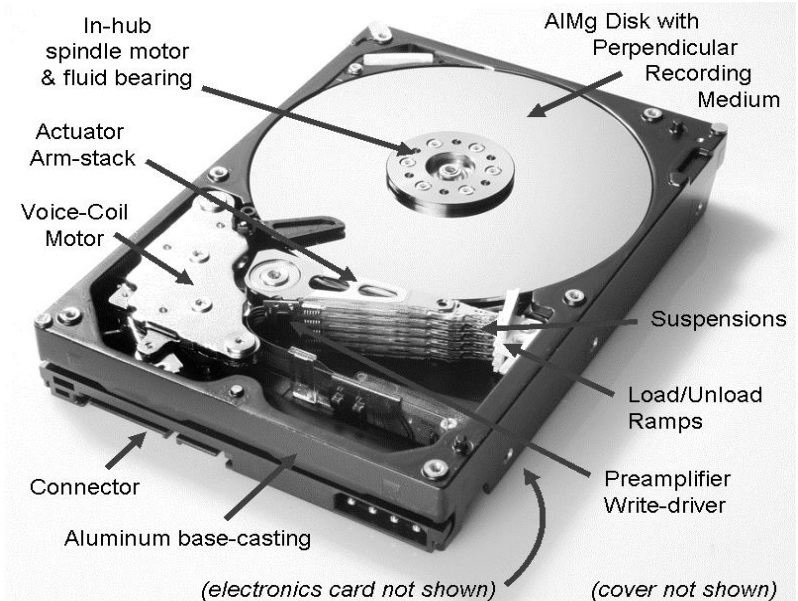
(that superb hard disk drive)

## Bicycle



- two large similar-diameter wheels
- front-wheel pivots with handlebars
- rear wheel driven through sprocket & chain from rotating pedals
- operated in seated position

## Hard Disk Drive



- fluid-bearing spindle with multiple disks
- rotary actuator carrying multiple heads
- slider with self-generated air-bearing
- thermal  $\mu$ -actuator for magnetic spacing
- perpendicular recording mode

**Hard Disk drives will be here for many decades to come**

# Acknowledgements

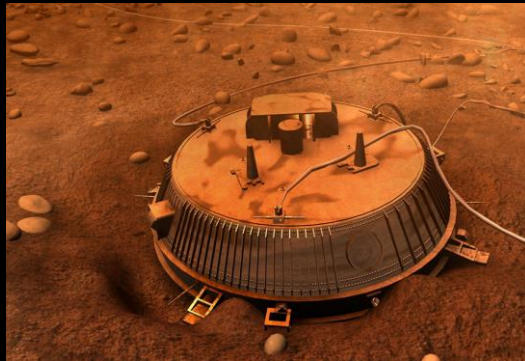
John Contreras  
Qing Dai  
Mark Haertling  
Yoshihiro Ikeda  
Wen Jiang  
Ian McFadyen  
Bob Reinhart  
Mike Salo  
Marilee Schultz  
Barry Stipe  
Hiroyasu Tsuchida  
Monica Vargas  
*and many others ...*

**"Powers of Ten" a short film by  
Charles and Ray Eames 1977**





# Cassini-Huygens



**Huygens on surface of Titan**

## *Information Storage and Processing System*

- **Solid-State: DRAM** (by Oki)
- **Capacity: 300 MBytes**  
*ECC: Hamming (39,32,4)*  
*280 bit-flips/day (cosmic rays)*

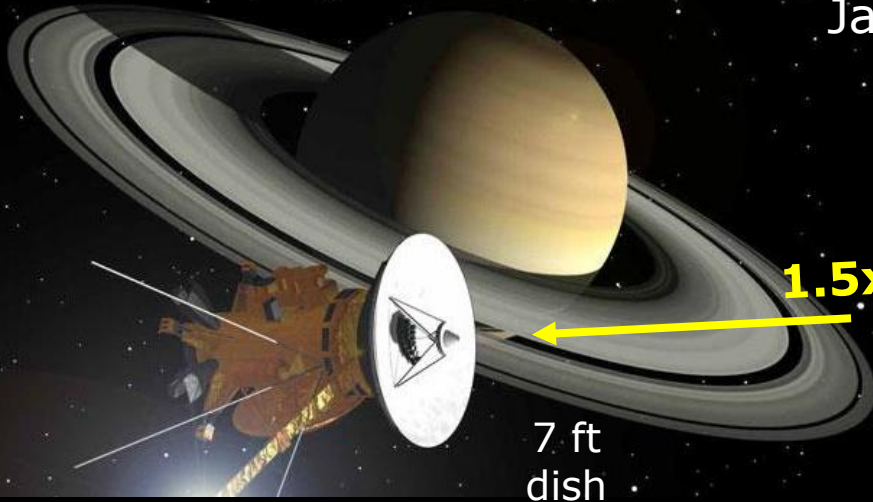
**Titan IVB/Centaur**



Cassini was placed in orbit around Saturn July 2004

The Huygens lander was released to land on Titan in January 2005

NASA/ESA



7 ft dish

$1.5 \times 10^{12} \text{ m} = 1.4 \text{ hours}$

Launched 19 years ago



Oct. 15, 1997

# California

## Scale

~1000 km

~10<sup>6</sup> m



**San Francisco**

**Stanford University**

**Vandenberg AFB**  
USA West Coast space launch site

**Hollywood**  
World #1 in movie, TV, music production

**San Diego**  
one of largest naval bases in world

**Just Read the Instructions**  
Space-X landing barge

State Capital  
**Sacramento**  
(gold discovered 1848)

University of California  
**Berkeley**

Palo Alto to San Jose  
**"Silicon Valley"**  
(also birthplace of video tape recorders and hard disk drives)

**Edwards AFB**  
Shuttle landing site

**Cal Tech**  
NASA Jet Propulsion Laboratory

Greater  
**Los Angeles**  
US second city (pop. ~19 million)

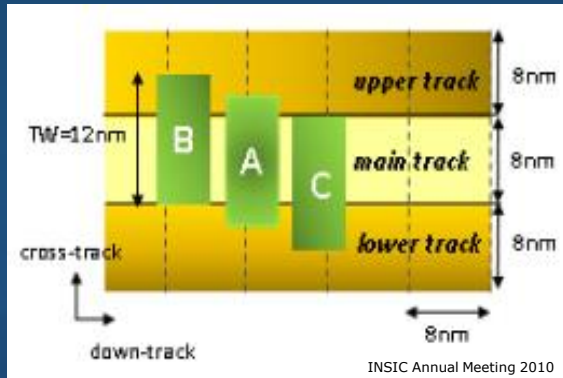
# Track-Following and Signal-Processing

Scale  
~ 1 cm (still)

## TDMR

(2D Magnetic Recording)  
Employs multiple readers across one or more tracks

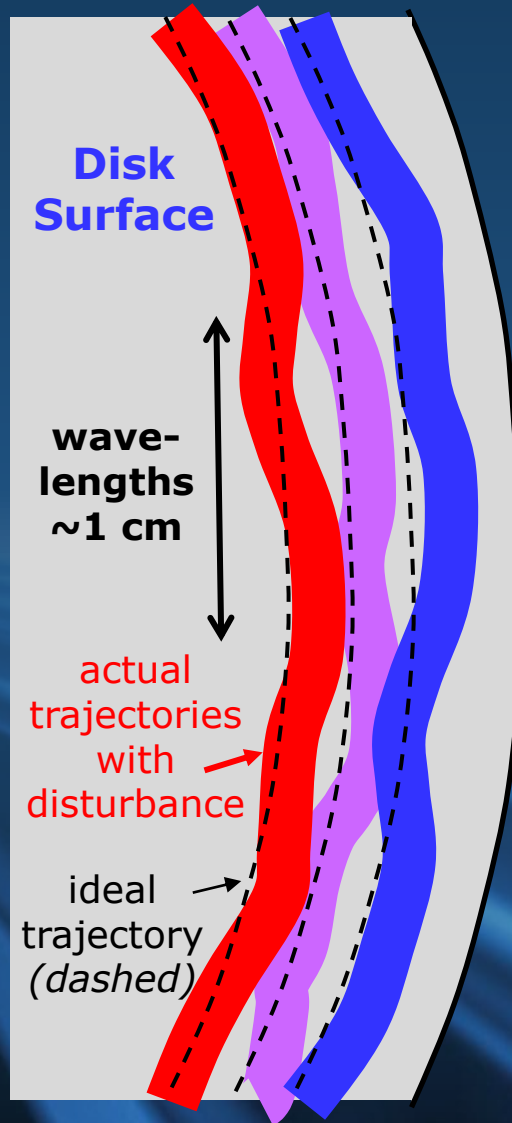
IEEE Trans. Magn., vol. MAG-45, pp. 917-923



## Electronic Tracking

2 or 3 waveforms combine in one equalizer to optimize detection of center track

**Accurate adaption of equalizer and detector can take several 4 kB sectors ~ 1 cm**



## Very long data-blocks

created with techniques such as distributed sector or soft track-ECC



64 kB block-length ~ 1 cm

## Performance no longer dominated by weakest link

Decoding done iteratively: likelihood-information gets passed back & forth across entire span of the code.

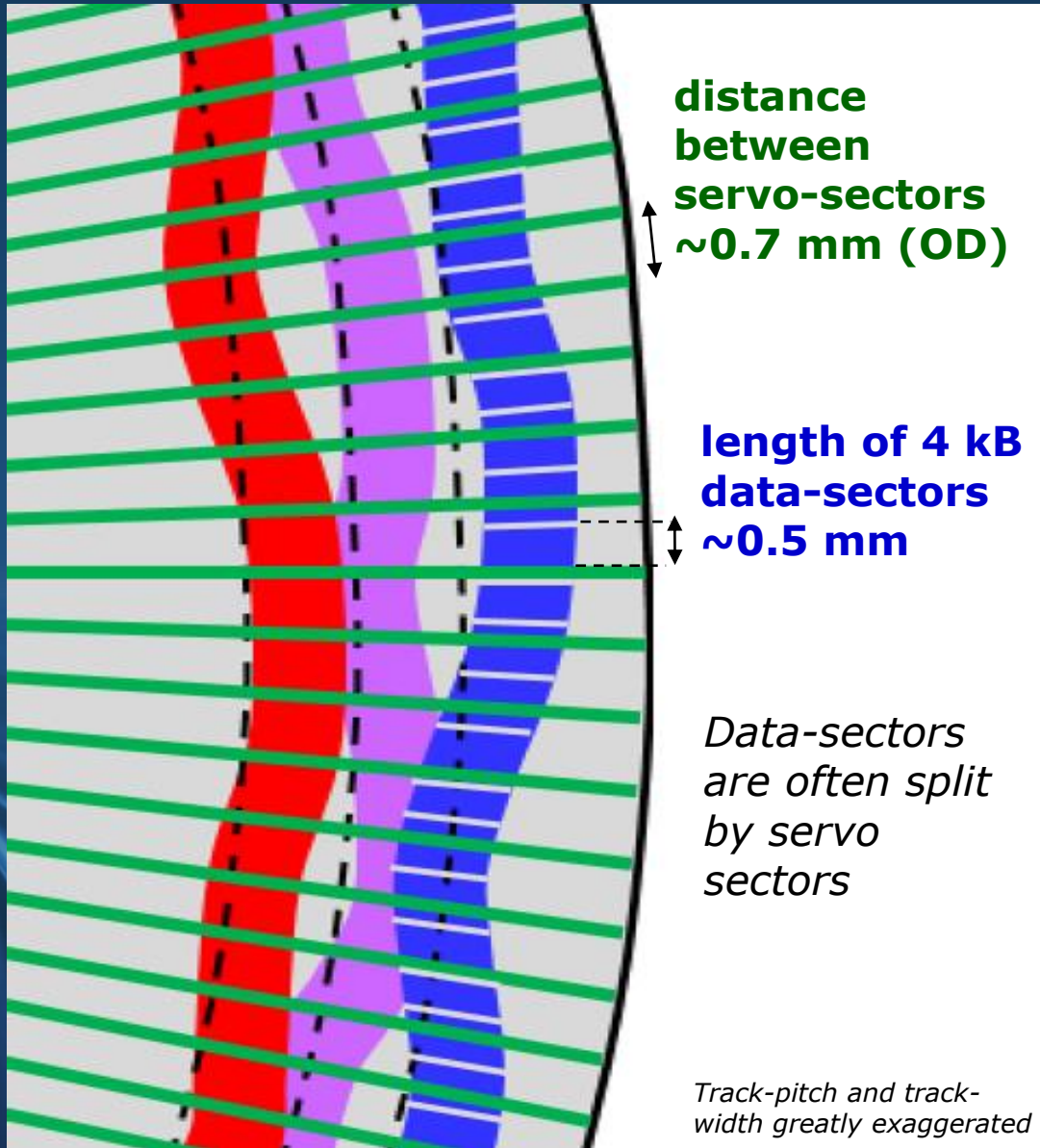
US Pat. 9059737 & IEEE Trans. Magn. 9401704

# Servo Sectors & Data Sectors

Scale ~ 1 mm  
(still)

## SERVO

Example:  
360 sectors  
7200 rpm  
95 mm disk  
43 kHz  
sampling-rate  
(23  $\mu$ s)



## DATA

Example:  
4 kB block  
7200 rpm  
95 mm disk  
34 m/s x  
2100 kbpi  
= 3 Gbit/s

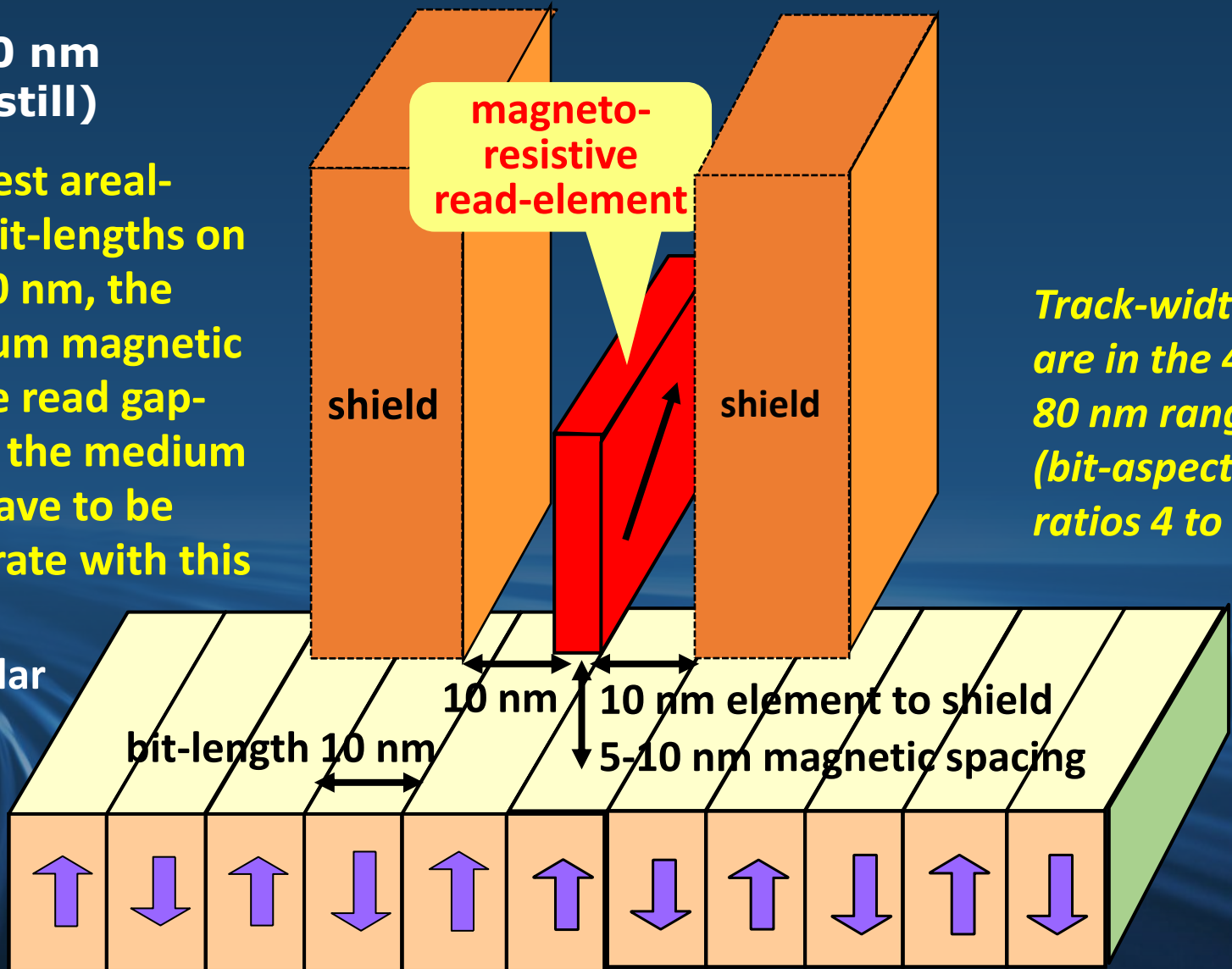
# Bit-length, magnetic-spacing, read-gap

Scale ~ 10 nm  
~10<sup>-8</sup> m (still)

At the highest areal-densities, bit-lengths on disk are ~10 nm, the head-medium magnetic spacing, the read gap-length, and the medium thickness have to be commensurate with this

Perpendicular recording medium

15-20 nm



Track-widths are in the 40 – 80 nm range (bit-aspect ratios 4 to 6)

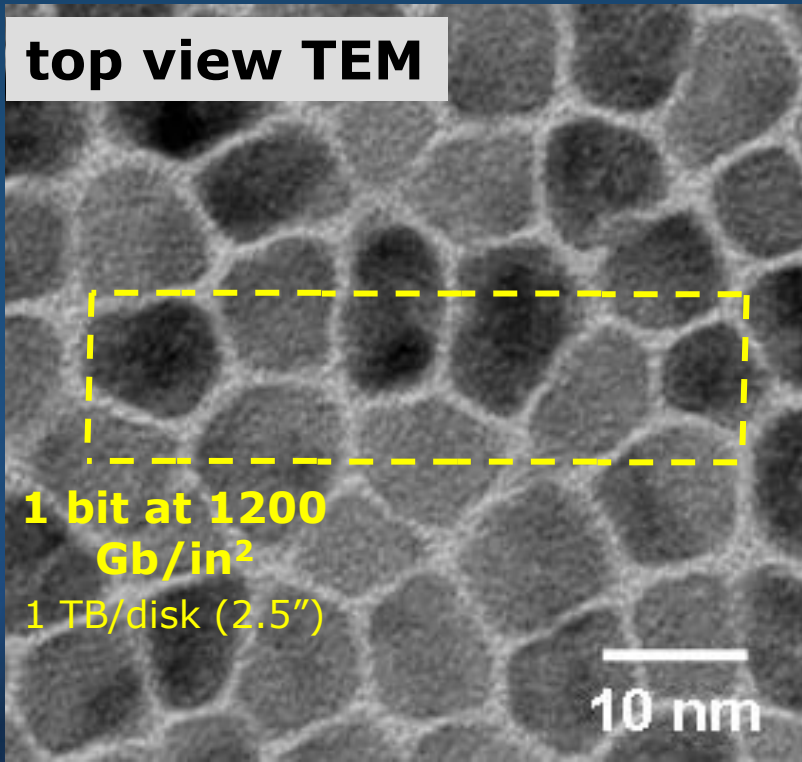
# Magnetic Recording Medium

Scale ~ 10 nm  
(still)

**Recording-layer properties:**

*high coercivity (switching field),  
small grains (~8nm), thin (10-20nm),  
high squareness, thermally stable*

**Example Disk Structure**



**CoCrPt alloy with Oxide segregant**



**cross-section TEM**

- Lubricant
- Protective-overcoat
- Granular columnar recording layer (perpendicular) CoPtCr/Oxide
- high-pressure Ruthenium
- Exchange-break or interlayer
- low-pressure Ruthenium
- NiW Seed layer
- Soft Magnetic Underlayer (thick)